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TOWNSEND and TOWNSEND and CREW LLP

By: /Tiffany Wu/
Tiffany Wu

PATENT
Attorney Docket No.: 021756-001900US
Client Reference No.: OID-2003-065-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SRIKANTH KARIMISETTY et
al.

Application No.: 10/731,657

Filed: December 8, 2003

For: **METHOD OF AND SYSTEM FOR
ASSOCIATING AN ELECTRONIC
SIGNATURE WITH AN ELECTRONIC
RECORD**

Confirmation No.: 4952

Examiner: POWERS, WILLIAM S

Art Unit: 2134

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER
37 CFR §1.97 and §1.98**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or
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Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please
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Respectfully submitted,

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61098906 v1

Substitute for form 1449A/PTO				Complete if Known	
				Application Number	10/731,657
				Filing Date	December 8, 2003
				First Named Inventor	Karimisetty, Srikanth
				Art Unit	2134
				Examiner Name	POWERS, WILLIAM S
Sheet	1	of	4	Attorney Docket Number	021756-001900US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ^{2,3} (if known)	MM-DD-YYYY		
	1	5,978,475	11-02-1999	Schneier et al.	
	2	6,240,407	05-29-2001	Chang et al.	
	3	6,295,513	09-25-2001	Thackston	
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		Number Kind Code ² (if known)	MM-DD-YYYY		
36	2003/0200130		10-23-2003	Kall et al.	
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FOREIGN PATENT DOCUMENTS					
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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/731,657
(Use as many sheets as necessary)				Filing Date	December 8, 2003
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				Art Unit	2134
				Examiner Name	POWERS, WILLIAM S
Sheet	3	of	4	Attorney Docket Number	021756-001900US

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
	46	BERTINO et al. "Integrating XML and Databases" IEEE Internet Computing July-Aug 2001			<input type="checkbox"/>
	47	CHUEH et al., "An XML Portable Chart Format," 1998, Proc AMIA Symp., pp. 730-734.			<input type="checkbox"/>
	48	FDA, Guidance for Industry Part 11, Electronic Records; Electronic Signatures - Scope and Application, Aug. 2003.			<input type="checkbox"/>
	49	MATHIESON, "Implementing Oracle Workflow," European Oracle User Group 1999 Presentation pages 1-11			<input type="checkbox"/>
	50	MEEHAN, "Deploying Hummingbird Document and Records Management Products to Comply with 21 CFR Part 11: Electronic Records; Electronic Signatures," April 2003, Hummingbird.			<input type="checkbox"/>
	51	MUIRHEAD, "Impact of 21 CFR Part 11 on clinical laboratories: An overview," Aug. 2001, American Clinical Laboratory, pp. 33-34.			<input type="checkbox"/>
	52	NOFERI et al. "Information Age Audits" BioPharm Jan 2002 pgs 35-39 and 50			<input type="checkbox"/>
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	54	ORACLE, "Question & Answer Document for Oracle Appsworld" January 19-22, 2003, pg 1-81			<input type="checkbox"/>
	55	ORACLE, Oracle Life Sciences Platform Feature Summary, Feb. 2003, Oracle Corp.			<input type="checkbox"/>
	56	PAPAZOGLOU, "The World of e-Business: Web-Services, Workflows, and Business Transactions" WES 2002, LNCS 2512, pp 153-173,2002			<input type="checkbox"/>
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Sheet	4	of	4	<i>Attorney Docket Number</i>	021756-001900US

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	57	SmarTeam, SMARTEAM - FDA Compliance Configuration (SFA), IBM/SmarTeam, Sep. 2003.	<input type="checkbox"/>
	58	SmarTeam, SMARTEAM™ FDA Compliance Technical Paper Functional Compliance With FDA Rule 21 CFR Part 11, July 2002, SMARTEAM Corp.	<input type="checkbox"/>
	59	STEMBER, LABTrack: Introducing a legal electronic lab notebook, 221st National Meeting, American Chemical Society, Division of Chemical Information.	<input type="checkbox"/>

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